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<p>(54) Title: SECURITY DEVICE</p> <p>(57) Abstract</p> <p>A security device comprises an array of microimages (2) which, when viewed through a corresponding array (3) of substantially spherical microlenses, generates a magnified image. In some cases, the array of microlenses is bonded to the array of microimages.</p> <div data-bbox="941 1113 1396 1953"> </div>		

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SECURITY DEVICE

The invention relates to a security device and methods of making such security devices.

5 Many different proposals have been made in the past for designing security devices for affixing to security documents such as banknotes, credit cards and the like to assist in authenticating such security documents during their use. Typical examples, include holograms and other
10 optical variable devices.

One particular type of security device which has been used for many years is the security thread. Recently, in US-A-4892336, a development of the security thread device was described. In this case, the security thread was
15 provided as a transparent substrate, one side of which carried a set of lenses and the other side of which carried microprinting which could be viewed through the lenses. Typically, the microprinting comprises strips of different colours such that when the thread is viewed at different
20 angles, different colours will be perceived. One of the problems with this approach is the need for a very precise register between the microlenses and printing. In fact, in US-A-4892336 this need for precise register is put forward as one of the advantages of that invention in that it makes
25 it very much more difficult to counterfeit such security devices. On the other hand, for a security device to be useful commercially, genuine devices must be relatively easy to manufacture since otherwise production costs will be prohibitive.

30 US-A-4765656 also describes a security device made using a lenticular screen and in this case the microimages are formed by direct laser writing through the microlenses which are already in situ in the device. Again, this approach is not suited to mass production techniques
35 although it does achieve exact register between the lenses and images.

In accordance with one aspect of the present invention, a security device comprises an array of printed microimages which, when viewed through a corresponding array of substantially spherical microlenses, generate a magnified image.

We have realised that a new security device can be constructed which is suited to mass production techniques by utilising the effects of an array, for example a two dimensional array, of spherical microlenses.

The term "corresponding" when used to describe a microlens array and microimage array means that both arrays are two dimensional with a regular repeat pitch in two directions and the pitch of the microimage array is substantially similar to that of the microlens array. Generally, each microlens will have identical optical properties and each microimage would be substantially identical. The viewing condition also assumes that the microimage objects are situated near the focal plane of the microlenses. In a first "ideal" example of this system, the pitches of the images and lenses are identical and the two are accurately aligned and the microimages are near the focal planes of the microlenses. When this system is viewed from a given direction each lens images the corresponding point on the image underneath it to form a very highly magnified image of the underlying microimage element. If there is a difference in pitch between the two arrays a set of moire fringes will appear where the repeat element is the object in the array when across the moire fringe successive elements image successive portions of the object to build up an image which will repeat every time the mismatch equals an integer number of lenses. A pitch mismatch between a microlens array and microimage array can also conveniently be generated by angularly misaligning the arrays which will also generate a moire repeating image of the object. It should be appreciated that most of the practical embodiments of this invention will use the misaligned condition of the arrays to form images as this

arrangement has particularly advantageous properties. The condition for "correspondence" between any microimage array and a microlens array for these purposes is if the two arrays are similar enough in the required properties to
5 form a magnified image or set of magnified images of the repeated microimage element.

The term spherical lenses means that the surface form of each microlens approximates to a portion of the surface of a sphere such that the lens has imaging power along two
10 perpendicular axes around its optic axis (which will be normal to the plane of the array). In this case, the lens surface will be curved in both axes perpendicular to the optic axis with both axes having the same curvature. In this case, the lens will form an approximate point image at
15 its focal plane when illuminated with collimated light (neglecting aberrations and small deviations from ideal behaviour due to small deviations of the shape of the lens from the ideal spherical shape). Effectively spherical lenses are the normal form of simple lens which will form
20 a normal image of an object near the focal plane. This is in contrast to cylindrical lenses which only have optical power in one axis and would therefore form a line image when illuminated with collimated light with the light being brought to a focus in one axis but not in the other and in
25 this case the surface profile of the lens would be a portion of the surface of a cylinder with a radius of curvature in one direction and flat (linear) in a perpendicular direction. By the term astigmatic lens we mean a lens surface profile that is very similar to that of
30 a spherical lens but where the radius of curvature along the two perpendicular optical axes is different such that the lens forms a distorted image of an object by bringing different perpendicular components of light to focus at different distances. An astigmatic lens illuminated by
35 collimated light would focus the light at different distances in both axes.

By the term "array" we mean that the microimages and microlenses extend in two dimensions and repeat in two perpendicular directions on a surface. Each array would normally consist of many lenses and microimage elements, repeating many times in each direction with a regular pitch. Typically such arrays consist of many (eg 100 or more) regularly spaced identical lenses, each typically 50-250 μ m in diameter and with a focal length of typically 200 μ m. A typical manufacturing process would be to coat a substrate with photoresist, expose this to a mask (say a grid or hexagonal pattern) and then develop off the desired areas to leave isolated, regular islands of resist. These are then melted and surface tension in the liquid state causes the formation of an array of spherical islands of resist. From this a microscopic array of spherical structures can be manufactured by known replication processes.

In particular, in contrast to the prior art approaches, many examples of the security device will not include the microlens array. This makes it much easier and cheaper to manufacture than the known devices which incorporate microlens arrays. Also, in contrast to the prior art, we have realised that by using spherical lenses, the need for exact register between the lens array and the microimage array is relaxed which makes systems of combined lens arrays and microimages much easier to manufacture and particularly allows for a manufacturing method utilising established security printing techniques.

Typically, the microimages will have sizes up to about 250 microns with feature sizes down to say 5 or 10 microns. This makes the microimages very difficult to reproduce and in particular difficult to see by the naked eye. Consequently, this security device is covert but easy to use.

In some cases the corresponding array of microimages will form a single set of substantially identical microimages while in others several such sets are provided,

the images of each set being identical with others in the same set but different from other sets. In this latter case, the sets could record different views of an object thus providing a 3D effect when viewed.

5 The interaction between the analysing array of microlenses and a corresponding set of identical microimages is of a characteristic visual form. When the corresponding arrays are perfectly aligned into register each lens has underneath it a microimage in perfect
10 register so that an observer sees only one magnified image of the microimages. However, as the spherical lens array is rotated relative to the image array the single magnified image splits into a regular array of images with the number of visible images increasing and their individual sizes
15 decreasing as the angular mismatch increases. Essentially on twisting the lens/image arrays off the location of perfect register, the area and unit length over which the lenses and images are in phase to form a visible picture decreases so that the device ceases to display a single
20 image and starts to display an array of much smaller regular images which show the loss of perfect register between the lenses and the microimages. The overlap image areas are essentially determined by the moiré pattern formed between the lens and image arrays and so this device
25 has been termed the "moiré magnifier". It is this "moiré" characteristic of the device that means much looser register/alignment constraints are required to form a viewable image than for instance would be the case with previous known systems based on cylindrical lens arrays
30 held in perfect register with micro printing where the image is destroyed when register and tilt alignment are lost. It is anticipated that the majority of security devices would use this form of array of identical or repeated micro images to allow relative positional register
35 tolerances during manufacture to be kept very loose.

In an alternative approach, three-dimensional objects may be recorded with each microimage corresponding to a

slightly different view point of the object so that when the microimages are viewed through the corresponding matched lens array positioned in exact register, depth/movement effects will be visible as the viewer changes viewpoint. Note that this 3D image will be pseudoscopic [depth reversed] but that techniques exist for reversing the recorded images, for example using retroreflectors, to produce orthoscopic (correct parallax) images.

These depth and movement effects across the image can be used to provide additional effects. The ultimate case becomes a complete "integral photograph". This would be, for example, recorded through a microlens array using a 3D subject (or several spaced apart planar graphical images) to produce an image. Typically at these small sizes the image would not be visible to the unaided eye. Typically such images would involve recording small scale detail, probably down to 5-10 μ in width.

However, in the case of a complete integral photograph, perfect alignment is required between the lens array and the corresponding images to view the result and the image would not be visible without this. Therefore, although this type of image is possible it is anticipated that this form of device is unlikely to be used for printed or mass produced security devices because the register tolerances for image formation would be much higher than for the case of repeated simpler microimages where moiré effects would occur on misalignment allowing much looser register constraints for image visibility.

It will be appreciated that an important aspect of this invention is that the microimages are printed, e.g. with ink. This makes the device particularly suitable for mass production.

In accordance with a second aspect of the present invention, a method of preparing a record medium for use in producing a security device comprises imaging an object or image through an array of substantially spherical

microlenses onto a record medium. The record medium may itself constitute or can form a printing plate or it can be used to replicate the resultant, recorded microimages onto a printing plate.

5 In one example a microlens array forms a set of microimages on a material which is then used to form a printing plate. This pattern is then printed onto a document as an array of tiny structures, of a size just above that of print resolution e.g. 5 to 10 μ up to about
10 100 μ but which are not individually visually discernible. This array could then subsequently be decoded using a microlens array similar to that used originally in manufacture. The creation of such a precisely spaced set of microimages would be very difficult using current
15 techniques such as engraving and photo techniques. Yet certain print techniques themselves such as lithography or intaglio, can resolve down to around 5 μ to enable such a structure to be printed from a printing plate.

20 These developments therefore relate to the creation of new microimaged security features which may be printed. In all cases the microimages will be viewed through matched, corresponding microlens arrays and in all cases either the viewing itself or more sophisticated multiple image or lenticular techniques can produce visually distinct
25 movement, depth and image "switching" i.e. abrupt change of the perceived image as a result of a change of the viewing angle, effects.

30 In other examples, an object could be imaged onto a substrate carrying photoresist which is then processed in a conventional manner to generate a printing plate.

35 In most of the examples described so far, the security device does not include a corresponding array of microlenses. However, in accordance with a third aspect of the present invention, a method of manufacturing a security device comprises printing an array of substantially identical microimages; and securing a corresponding array of substantially spherical microlenses over the array of

microimages, such that on viewing, a magnified image of the microimages would be seen.

In this case, a security device is provided comprising combined microimages and microlenses. However, this method is particularly suited to mass production since the tolerance with which the microlenses need to be registered with the microimages is much wider than has previously been possible. Typically, the allowable tolerance will be in the range 0.25-0.5mm or larger.

In this case, the printed microimages and the viewing microlens array are integrated together as a public recognition security feature which would produce an approximation of the kind of optically variable effects, depth perception effects, parallax movement effects and rapid holographic image changes associated with white light interfering structures such as multiple alternating layers of metal, dielectric coatings, or white light diffracting effects such as are exhibited by surface relief pattern forming holograms and computer generated diffraction patterns such as the Kinegram of Landis and Gyr.

A particularly important application of the invention provides a security device in accordance with a fourth aspect of the invention comprising an optically variable device exhibiting an optically variable image, the device further including an array of microimages which, when viewed through a corresponding array of substantially spherical microlenses, generate a magnified image.

Typically, the optically variable image will comprise a hologram or diffraction grating, for example a surface relief hologram or a diffraction grating structure such as a Kinegram or a "pixelgram" as made by CSIRO. In general, the microimages will either be printed onto an optically variable device layer or provided as a light diffracting surface relief pattern.

This aspect of the invention involves combining with a standard optically variable device such as a security embossed hologram, an array of tiny integral images e.g.

photographs, made and read out using the microlens structures and techniques outlined above.

The gross holographic image thereby provides a first level of public recognition, and thus security.

5 In use, the optically variable device, such as a hologram, would provide security through being instantly publicly recognisable whilst the small scale microscopic integral images would not be discernible by eye, but would act as a covert feature becoming visible when overlaid by
10 a microlens array (supplied as an embossed plastic film) to reveal the hidden images whether they are of text, graphics or code markings.

This is useful as a security feature because the very small size attainable in integral photographs and by
15 imaging through microlens arrays is very difficult to obtain by printing or photography. It is totally beyond the capability of normal reprographic scanning or printing methods. It is also very difficult to produce entirely holographically. It is too fine for conventional artwork,
20 and anyone succeeding in producing such artwork would be defeated in the holography as the precise imaging of such structures would be extraordinarily difficult if not impossible and such fine lines could not be recorded by masking techniques.

25 The only way to obtain such a device would therefore be to obtain and use a microlens array identical to that used for manufacture. This is therefore a valuable security device inaccessible to both unauthorised holographers and unauthorised printers.

30 The hologram and microscopic integral images e.g. photographs would be produced together on a first master embossing plate as detailed below and would then be mass produced by conventional embossed hologram production techniques.

35 An analysing "viewer" sheet which consists of an array of surface relief microlenses would also be manufactured by embossing or possibly moulding. These viewers could then

be distributed to authorised users to allow definitive verification of the hologram.

Preferably in this technique the integral photography stage is performed by imaging down some two dimensional appearance graphics onto a photoresist or other recording medium although this first pattern could also be produced using semiconductor direct writing photomask manufacturing methods. The plate on which the integral photograph is recorded would also be used to record either prior or subsequently a conventional photoresist rainbow hologram or diffraction grating type device as known in the art. This would then combine both the holographic and integral microimages e.g. photographic images into the same surface relief structure. The recombination could also be done by mechanical stamping from individual masters. Note that although we use the term "integral photography" the images recorded are two dimensional and actually much smaller in scale than conventional integral photographs because of the small scale size of the images produced here due to the availability of very small scale lens arrays.

At the origination stage the preferred production technique would consist of two stages in any order:

A. Record standard hologram into photoresist.

B. Put microlens array over photoresist at a precise spacing and record a holographic image of the image graphics/logo/3D object.

The photoresist would then be developed.

The microimages would be recorded into areas containing a holographic replay (e.g., diffraction grating, rainbow hologram, 2D/3D hologram or a matt speckled, diffused patch). This would enable holograms to be manufactured containing both standard diffractive structures whose surface relief pattern may have a resolution of around 1 micron and microscopic integral photographs of diameter 10-100 μ as distinct areas on the holographic surface. It is also possible to produce similar structures using imaging or other techniques to

record the 2D integral photographs as small scale holographic structures in their own right.

The secondary image produced and revealed by the microlens array could take several forms made as follows:

- 5 1. A 2D microimage is made by holographically recording a flat, two dimensional graphic image through the lens array. On viewing, a repeated set of these graphics would be visible: these would show movement effects.
- 10 2. A microimage showing rapid image switching effects as a function of viewing angle, is made by holographically recording several sets of flat graphical artwork through the lens array, at different recording angles. On tilting the device a viewer would see sharp switches between various graphics as alternative images came into view.
- 15 3. A full three dimensional integral "photograph" is made where the object being holographically recorded is three dimensional. Different microlenses record views from different directions of parallax. When the decoding microlens array is in perfect alignment a viewer would see
20 a three dimensional image exhibiting parallax shifts and depth effects on tilting.

The analysing microlens could be a lens structure embossed into a transparent plastic film as discussed. There may also be advantages in using a holographic
25 microlens as the analyser.

This aspect of the invention could be used as a supplementary level of covert security on banknote holograms or other optically variable devices, or plastic cards.

30 Security devices in which the microimage arrays are printed down onto a document and then the microlens array is formed or fixed on top of the microimage array in order to form a permanently bonded public recognition security feature are particularly appropriate for protecting
35 documents of value for example bonds, cheques, banknotes or plastic cards against counterfeit as the image features are optically variable (e.g. image switches, colour shifts) and

the devices and image characteristics cannot be counterfeited or copied using routinely available printing technology such as colour copiers or scanners.

5 In order to view a security device which does not already incorporate a microlens array, we provide in accordance with a fifth aspect of the present invention a method of authenticating a security device comprising positioning an array of substantially spherical microlenses over the security device, and viewing the security device
10 through the array of microlenses.

The security device would be viewed through the corresponding array of microlenses in order to observe an image. A suitable array of spherical microlenses comprises a clear plastic sheet with the lenses incorporated by roll
15 or flatbed embossing, injection moulding, vacuum forming casting and via curing in a mould as appropriate. In order to verify the security device the array of microlenses would simply be laid on top of the printed or holographic device and rotated. As the lens array and microimage array
20 come into register an array of small identical images would be observed due to the moire phenomenon between the arrays. On perfect alignment a single enlarged magnified image would become visible.

This can be done manually but conveniently, we provide
25 in accordance with a sixth aspect of the invention a security device reader comprising an array of substantially spherical microlenses; and a security document holder for locating a security document having a security device substantially in register with the array of microlenses
30 such that the security device can be viewed through the microlenses. Typically, the holder and microlens array would be relatively rotatable. The changing arrays of identical images seen as the two arrays are rotated would form a highly characteristic visual security feature.

35 By using more than one set of microimages, this invention enables an optically variable image switching

effect to be achieved using much simpler systems than previously. Consider the case where a set of micro lenses is used to record two arrays of images of objects A and B. The two objects are angularly separated and so record microimages that will only become visible at different view angles. Now suppose the resultant image array is combined with an overlaid lens array to form a security device. The image characteristics of this security device will be that on tilting the device the viewer would see the observed image flip between the two sets of recorded information A or B or multiple images of these depending on the moire interaction between the images and arrays. In the case of a printed document the microimage containing microimages A and B would be printed down at one working, so keeping the exact relative registration between the two microimages and so keeping a defined angular separation and shift. This system could of course cover the case of more than two images recorded, for example several sets of images replaying at different angles used to a progression such as several rings to form an expanding circle pattern or to incorporate simple image movement or progression forming effects similar to those frequently used in multiplex holography where in this case the angular selectivity of the microlens array is being used to encode the various viewing directions to produce image "switches" rather than a hologram. It is known that such obvious image switches produce effective public recognition security devices and are significantly more complex to produce thus providing significantly increased security. This is described in more detail below in connection with Figure 2.

The simultaneous viewing of two or more sets of different microimage arrays via a lens array can also be used to form a device of colour shifting appearance. Suppose for example the two different microimage sets A and B as above are separately recorded and then each printed separately onto a document in visibly different coloured inks. The security device would then be formed by

overlying this microimage set with a set of matched spherical lenses. In this case a viewer would see on tilting the device an image switch and a predefined colour switch. The angular image switches between different devices would vary because using standard printing techniques the two different coloured microlens arrays would not retain the same relative register device to device. However, the colour shift on switch between the two images would be well defined and constant in all security devices. In this way colour switching security devices could be formed without the need for exact in register print as for cylindrical lenses. The device would simply be defined to operate in a mode utilising the twist error moire effect to produce an array of a few multiple images switching between images of different colour on tilting so that different devices within a manufacturing run would look substantially similar.

In some cases, particularly where the corresponding microlens array is not part of the security device, it is important to prevent the microimage arrays from being back engineered or to make it very difficult to back engineer from the analysing lens arrays that would be distributed in the field as readers.

One preferred method is to use two different lens arrays of related pitches when recording the initial image deliberately chosen to have similar but different pitches, for example, varying by for example 20% (range 5%-50%). The initial array would be recorded by imaging two separate images, say, A and B each through different lens arrays, one for A and one for B, into the same recording material. To form a printed security device the resultant pattern would then be printed onto a document or otherwise reproduced and then overlaid with a microlens array with a suitable twist offset to produce several multiple images by the moire effect. The resultant security device would then display a number of images A, the number and pitch set by the moire interaction between the microimages and analysing

array plus an array of images B with a different size, number and pitch depending on the different moire interaction between this microimage array and the corresponding analysing lens array. This image consisting of two different moire generated repeating patterns would be very difficult to back engineer as two lens sets would be required. The analysing lens system could be similar to either of the recording lenses. Depending on the relative periodicities and recorded sizes the resultant image pattern could take a number of forms. The image could consist of two sets of images displaying a slightly different size or number across the array if the relative periodicities are very close. Alternatively the image patterns could display markedly different characteristics for example a few large images A displayed with a large number of small images B, if the lens arrays are of very different periodicities (say 50% different) with the small images B displaying a much faster rate of change and movement with lens twist and view angle. Using this concept it would also be possible to record the formative images A and B separately and then print the images down in separate colours to form a similar effect where two images of different colours display different moire effects and markedly different angular rates of change with the smaller images with a small repeat pitch changing more rapidly with viewing angle.

This invention also provides for another technique where two microimage patterns are again laid down but form an image again with different moire repeats in order to make copying and counterfeit more difficult. In this case both images originate from the same lens array. Both images A and B are then used for different print runs on a document possibly but not essentially using inks of different colours. During printing one array is deliberately twisted out of alignment relative to the other array so that the printed security device consists of two arrays of the same pitch but rotated relative to each

other. The same effect could be obtained in a more controlled way by using one master recording with the recorded lens array twisted between exposures. The single recording would provide tight control on relative moire
5 pitches and image sizes, the double recording double print would provide for a colour differential. In this case when viewed with an analysing array either separately from the document or affixed to it the two arrays display images of different sizes and moire repeats. Depending on the twist
10 mismatch angle the differences in image sizes and repeats between the composite images could be large or small, for example a few large image A's combined with a large number of small image B's that would move much more rapidly with tilt.

15 Another way to make copying or counterfeiting the devices more difficult would be to slightly alter the microlens shapes from near spherical lenses to astigmatic lenses which would have different focal lengths along two different perpendicular axes about the optical axis of the
20 lens. Previously we detailed a microlens manufacturing technique where a photoresist plate coated with a known resist thickness was exposed to a regular rectangular grid pattern or hexagonal pattern (etc). The exposed areas were developed to leave islands of resist and then the resist
25 melted to form substantially spherical lens structures under the influence of surface tension on the liquid meniscus which forms a spherical surface structure. If this technique is slightly altered to use rectangular or elliptical resist areas, then the resist islands will be
30 longer in one axis and when melted would then form surface structures with different radii of curvature along two perpendicular axes. (For this technique to be usable with other aspects of this invention it is important that the pitches between lenses remains constant for both axes).
35 This method would thus form an array of astigmatic spherical lenses on a constant pitch grid but with different focal lengths along different perpendicular axes

- with shorter focal lengths along small axes. Such astigmatic lenses could be used during imaging with pre-distorted artwork to compensate for the aberrations to form more secure images. This would make the security devices
5 difficult to back engineer using reader lens arrays available in the field.

Another way to make copying and counterfeiting more difficult is to use coloured lens arrays. Most processes to back engineer lenses would require exposure through the
10 lens array using blue or green light onto a photoresist or similar material to form a printing plate. If the analysis lens array is coloured red and effectively absorbs blue and green light this will make back engineering much more difficult. Likewise covering the analysis lens array with
15 a matrix or set of stripes of red, green, blue would allow images to be visualised in an overlap of colours using white light but would defeat back engineering which would require using substantially single colour light to image through the lens onto high resolution film or
20 photoresist/photopolymer. In this case substantial parts of the spectrum would be blocked by the filter stripes forming only partial images corresponding to the unblocked areas. This would make counterfeit back engineering the microimages using readout lens arrays prohibitively
25 difficult or impossible by ensuring that the counterfeit image quality would be dramatically degraded. A particularly effective and preferred route of permanently colouring the lens array with a red, green, blue set of filters would be print this onto the lens array using dye
30 diffusing inks so that the coloured dyes penetrated some distance into the plastic. The filter could therefore not be removed from the lens array without destroying it.

It is also possible to obtain other kinds of effects to make the device more difficult to copy or counterfeit by
35 using lens arrays consisting of different lens types on different pitched arrays. For example, if two sets of microimages, A and B are recorded through two corresponding

lens arrays (A,B) of different "pitches", array A will only register to and replay through corresponding lens array A and array B will only register to and replay through corresponding lens array B. Here lens arrays A and B would be of the same focal length but have different spacings. The microimage arrays A and B are printed interspersed in the same document area. Prior art cylindrical lens based systems would need exact register for the image to be viewable but this approach can use typical printing register (ie. not within 10 microns or 10's of microns but say within 0.5 mm). The device would be viewed through a lens array that consists of combinations of lens arrays A and B (ie. areas of A and of B interspersed over small areas either randomly or discretely). If now for example lens arrays A and B have different focal lengths then images A and B will be perceived to move at different apparent rates on tilting, so providing a visual effect where for example, image B moves much faster (e.g. two image repeats to one) than image A. Sets of microimages A and B could also be put in different print colours so that the final image would be a switching effect between say red A's and blue B's, with A's and B's moving at very different apparent rates on tilting.

The advantageous characteristics of all these approaches detailed above are as follows ...

- Exact registration of print and microlenses is not needed, unlike other switching effects using cylindrical microlenses which require precise registration to be effective.
- The combined system would be very difficult to reproduce as a combined lens array and matched microimage print provides security value.
- Aspects of the invention that use two different print e.g. ink workings still do not have tight register constraints on these elements or on their relative register to the microlens array.

One particularly advantageous approach to forming a document OVD (optically variable device) by entirely printing techniques apart from the creation of the initial plates is outlined below. First print down the micro-image arrays using one or more printing ink colours as appropriate to the technique being used. Print processes suitable for this type of resolution would for example be litho and intaglio printing. Then as a second stage form the overlaid microlens array: a preferred method would be to use an intaglio printing plate as an embossing would to form the lenses. Intaglio printing is a well known process by which raised profiled printed ink structures can be formed to provide a characteristic tactile level of security on documents of value. This process involves specialised inks and specialised print processes using engraved printing plates and high pressures to mould ink into a relief structure on a document. In this case the intaglio printing plate in the intaglio print process would consist of the negative surface relief profile of the spherical lens array required. The spherical lens array could then be formed in situ on the document by laying down a relatively thick layer of clear ink or varnish over the microimage area and then using the intaglio press to mould the lens array into this ink or varnish analogous to that used to form intaglio printed areas on documents. In this way the entire microlens plus microimage security device could be formed using printing processes alone.

It should be noted that the key advantage this technique has to offer over previous techniques based on cylindrical lenses is that the use of spherical microlenses and corresponding matched arrays of microimages permit relatively wide tolerances. In particular the moire "beat" pattern of images formed by the interaction of the lens array and the corresponding microimage array can be utilised to allow further degrees of wide tolerance register by deliberately using a device displaying multiple moire generated images.

Another method of mass producing image arrays instead of by ink printing is to form the image array by selectively removing areas from an aluminium film to form images. For example, etched micro print of this form is often incorporated into window thread metallic strips added as a thread to security paper such as those used for banknotes and other high value documents. These images are normally formed on an aluminised plastic film by either printing on an etchant solution to remove selective areas of aluminium to form images, or printing a protective layer on the aluminium then removing unprotected areas using an etch solution. Using either technique one could organise that an aluminium or other metal film in a paper thread carried an array of microimages by using a microimage plate for the initial printing. This would then enable a security paper window thread to carry a covert microimage revealable by overlaying it with a separate corresponding microlens array. One would also anticipate a process of producing a security device consisting of an integrated microlens array and corresponding image array to form a directly viewable security device by a process of embossing a microlens array relief profile into a suitable material (e.g. polyester), aluminising and then forming microimages in the aluminium layer by a print/etch process as outlined above to form a microlens security device that would be integrated into paper products as a window thread.

One would also use this type of process for forming a microimage array into the type of dot/half toned aluminium areas used to form the reflective layer in de-metallised holograms used for security overlays and overlaminates for example to protect photographs as is known elsewhere. So for instance a secure substantially transparent holographic overlaminate containing a holographic OVD effect could also contain a covert microimage pattern formed within the shape or layout of the dots of aluminium forming the partially metallised layer which would be revealed using a microlens array overlay.

Alternative methods of integrating the lens array onto a document would include embossing or forming the lens array into a UV curable resin applied over the microimages (a process that would use considerably less pressure than intaglio print) and embossing the spherical lens arrays separately using conventional embossing equipment and transferring the embossed lens film to the document as a label or using hot stamping techniques.

For documents or plastic transaction cards and the like where the substrate is plastic there are other useful embodiments of this invention which enable the microlenses and corresponding microimages to be incorporated together. In this case the microlenses could be incorporated into one surface of a substantially optically clear plastic substrate, whilst the thickness of the substrate would provide the necessary separation from the microimage array which would be placed on the other side of the substrate (or within an inner layer of the substrate for the case of thicker plastic cards) and viewed through the substrate material. This would avoid the need for a raised area of microlenses as needed with documents and would enable the microlenses to be combined within the substrate either by using an embossing process before manufacture or during manufacture.

Some examples of security devices according to the invention will now be described with reference to the accompanying drawings, in which:-

Figures 1A and 1B illustrate respectively in cross-section and in perspective view a security device when viewed normally;

Figures 1C and 1D are similar to Figures 1A and 1B showing the security device when viewed through a microlens array viewer;

Figure 1E is a schematic, perspective view of the arrangement shown in Figures 1C and 1D;

Figures 2A and 2B illustrate the recording and replay of a pair of images respectively;

Figures 3A and 3B illustrate a document carrying a security device, and the document when viewed through a microlens array respectively;

Figure 4 illustrates an example of the production of a security device;

Figure 5 illustrates a further example of a security device; and,

Figure 6 illustrates a security document holder.

Figures 1A and 1B illustrate a substrate 1 carrying a two-dimensional array of microimages 2 (only one set of regularly spaced microimages being shown for clarity). The substrate 1 may comprise a security document, such as a banknote, or a separate substrate which is subsequently adhered via an adhesive (not shown) to a security document. Each microimage 2 within the array is substantially identical and typically has a dimension of up to 250 microns. Each microimage comprises an image with detail which can be resolved to say 5 or 10 microns. Typically, there will be many, e.g. more than one hundred microimages provided per array.

To the naked eye, the image recorded in each microimage will be unrecognisable as illustrated in Figure 1B. However, when a matching array 3 of spherical microlenses is brought into alignment with the microimages as in Figures 1C and 1E the images will replay optically through the corresponding lenses when viewed in a direction 21 to generate magnified images as schematically shown in Figure 1D. Here the image recorded in each microimage within the array is the letter "A".

The viewer would need to align the analysing lenses 3 for focal length (i.e. planar separation) to bring the microimages 2 into focus and then correct for tilt and twist. The viewer will first see, as the analysing lenses are twisted into place, an array of many small images which gradually reduce in number to a few large images when the alignment is perfect.

This is effectively the visual equivalent of viewing a normally printed image such as a photograph. The effect can be used to provide an optical security device, as counterfeits will be readily detected.

5 In the Figure 1 example, each microimage 2 is identical. It is also possible, however, to record sets of microimages which define different images or different views of the same image in order to achieve a three-dimensional image and/or switching or moving replay effects similar to those produced by holography. This is
10 illustrated in Figure 2. In this case, each lens of a lens array 4 records the different view point of an object I1 onto the photosensitive coating 5A on a substrate 5B. Object I2 is then recorded from a different angle. In this
15 example, the different objects I1, I2 are the letters "A" and "B" respectively. When the array printed substrate 5 is viewed through a similar microlens array 4' (Figure 2B) depth and movement effects will be visible as the viewer changes viewpoint from X to Y: the viewer will see I1 at
20 position X and I2 at position Y.

Figure 3 illustrates an example of a security document 6 incorporating a security device 7 similar to the devices shown in Figures 1 and 2. As indicated in Figure 3A, the image used in the microimage array in the security device
25 is not resolvable to the naked eye. The array will have typically been printed onto the substrate, such as paper, using standard ink printing techniques such as lithographic printing.

In order to inspect the security device 7, a microlens array 8 is laid over the security device 7 causing the
30 microimages to reconstruct and generate magnified images as shown in Figure 3B. Typically, the microlens array 8 will be provided in a reader device (not shown) into which the document 6 is inserted.

35 To create a hidden security print feature as in Fig.3 typically requires the creation of a master printing plate consisting of an array of microimages - preferably formed

by imaging one or more sets of graphics down onto a high resolution photosensitive recording medium via a microlens array.

5 This results in a regularly spaced array of microscopic images of minimum detail size around 5μ , and typically of maximum image size $100-200\mu$. These images and the detailing would not be viewable by unaided eye. This pattern could also be created by using direct write photomask manufacturing techniques as used in the
10 semiconductor industry.

The printing of the microimage pattern on the plate onto a security document or plastic card, etc., would use standard security printing methods e.g. lithographic or intaglio printing which are capable of producing high
15 resolution printing detail to as small as 5 to 10 microns.

This would then form a security document comprising a covert array of microscopic images unrecognisable to the unaided eye and so small as to be substantially non-discernible using standard hand magnifiers, etc. This
20 array of microimages may be revealed by overlaying it with the array of microlenses 8, probably supplied as an embossed transparent plastic sheet which has an array of surface relief microlenses. When the viewing sheet is properly aligned the eye would see a vastly magnified image
25 of the original microscopic image in the array, which would exhibit characteristic depth and movement effects. Using slightly more sophisticated multiple exposure techniques at the origination stage would also allow this image to "flip" or switch between two or more different graphical images,
30 coloured images or lines to give an optically variable switching effect on tilting the device during inspection for authenticity.

One method of manufacturing a security device is shown in Figure 4.

35 An array of one or more sets of microimages 11 (Figure 4A) would be printed onto a security document substrate 10

using conventional printing technology such as intaglio printing.

5 The print 11 is then overlaid with a transparent polymeric resin material 12 (Figure 4B) and then a corresponding array of microlenses 13 is formed either e.g. by embossing with a stamper, or using a UV curable composition or by using a casting/curing process in situ (Figure 4C). Alternatively an array of pre-formed microlenses could be applied over the print using transfer techniques as currently used in the holographic and print industry (e.g., hot stamping foil).

10 However, the preferred route would be to form the corresponding microlenses in situ either by moulding the transparent plastic with an uninked intaglio plate on a printing press, or by a casting approach. This would involve overcoating the print feature 11 with a transparent curable material 12 and then forming the microlens array into the surface of this transparent material using an uninked intaglio printing plate or an embossing mould 14 and then, after removing any solvent, curing the material using UV light, electron beams or similar techniques to polymerise and cure the layer. Optionally the microlenses may be replaced by a diffractive microlens (i.e. a holographic optical element) applied or formed by the same in-situ moulding process or applied as standard hot stamping foil or similar.

20 Figure 5 illustrates another approach applicable to plastics substrates in which microimages 15 (one shown for clarity) are printed on one side of a plastics substrate 16 which is transparent while microlenses 17 are cast or moulded into the other side of the substrate 16. The substrate thickness is used as the optical spacer required to allow the image recorded in the microimages to be recognisable through the lenses. The substrate in other areas may be provided with an opacifying coating 17 which could then be printed over with security indicia.

Figure 6 shows a document holder 22 to enable a security device easily to be authenticated. The security document holder 22 has an arrangement 25 such as a slot or spring loader assembly for locating a security document 23 having a microimage security device 24 substantially in register with a set of microlenses 26 located within the document holder such that the security device can be viewed through the corresponding microlens array 26 to form a magnified image 27 for verification by an observer 28.

CLAIMS

1. A security device comprising an array of printed microimages which, when viewed through a corresponding
5 array of substantially spherical microlenses, generate a magnified image.
2. A device according to claim 1, wherein the microimages have been printed with ink.
3. A security device according to claim 2, wherein the
10 microimages have been lithographically or intaglio printed.
4. A security device comprising an optically variable device exhibiting an optically variable image, the optically variable device further including an array of microimages which, when viewed through a corresponding
15 array of substantially spherical microlenses, generate a magnified image.
5. A security device according to claim 4, wherein the optically variable image comprises a hologram.
6. A device according to claim 5, wherein the hologram is
20 formed by a surface relief pattern.
7. A security device according to any of claims 4 to 6, wherein the microimages are provided as a surface relief.
8. A device according to any of the preceding claims, further comprising an array of substantially spherical
25 microlenses formed in a transparent polymeric composition in corresponding register with the microimages.
9. A security device according to claim 8, wherein the microlens array composition is coloured.
10. A device according to claim 9, wherein the microlens
30 array is transparent and provided with stripes of different colours.
11. A device according to any of the preceding claims, wherein the array of microimages includes a set of substantially identical microimages.
- 35 12. A device according to any of the preceding claims, wherein the array of microimages includes a number of sets of microimages, the microimages within each set being the

same while the microimages of one set differ from those of the other set or sets.

13. A device according to claim 12, wherein the different microimages define different views of the same image.

5 14. A device according to claim 12, when dependent on claim 8, wherein the array of microimages includes at least two sets of microimages at least one set of different pitch to the corresponding viewing microlens array such that the magnified image generated consists of at least two elements
10 moving at different apparent rates and forming different numbers of magnified images of each element.

15 15. A device according to any of claims 12 to 14, wherein each set of microimages is differently coloured.

16. A device according to any of the preceding claims,
15 wherein the microlenses comprise astigmatic spherical lenses having different focal lengths in mutually orthogonal directions around the optical axis.

17. A method of preparing a record medium for use in producing a security device, the method comprising imaging
20 an object or image through an array of substantially spherical microlenses onto a record medium.

18. A method according to claim 17, wherein the record medium constitutes a printing plate.

19. A method according to claim 17 or 18, wherein the
25 imaging step comprises imaging the object or image through a number of different arrays of substantially spherical microlenses onto the same record medium.

20. A method according to claim 19, wherein the image or object is imaged through two different arrays of
30 substantially spherical microlenses.

21. A method according to claim 19 or claim 20, wherein each array of microlenses has a substantially constant pitch, the pitches varying between the arrays by between 5 and 50%.

35 22. A method according to claim 21, wherein the variation in pitch is substantially 20%.

23. A method of manufacturing a security device, the method comprising printing an array of substantially identical microimages on a record medium; and securing a corresponding array of substantially spherical microlenses over the array of microimages, such that on viewing a magnified image of the microimages would be seen.
24. A method according to claim 23, wherein the allowable tolerance is in the range 0.25-0.5mm.
25. A method according to claim 23 or claim 24, wherein the printing step comprises a lithographic or intaglio process.
26. A method according to any of claims 23 to 25, wherein the step of securing an array of substantially spherical microlenses comprises laying a transparent medium on the record medium, and forming the array of microlenses in the transparent medium.
27. A method according to claim 26, wherein the forming step comprises an intaglio process.
28. A security document carrying a security device according to any of claims 1 to 16, or manufactured in accordance with any of claims 23 to 27.
29. A method of authenticating a security device according to any of claims 1 to 7, or any of claims 11 to 16 when dependent on any of claims 1 to 6, the method comprising positioning an array of substantially spherical microlenses over the security device, and viewing the security device through the array of microlenses.
30. A security device reader comprising an array of substantially spherical microlenses; and a security document holder for locating a security document having a security device substantially in register with the array of microlenses such that the security device can be viewed through the microlenses.
31. A reader according to claim 30, wherein the array of microlenses and the security document holder are relatively rotatable.

32. A security device according to at least claim 8, comprising a transparent substrate on one side of which is provided the array of microlenses and on the other side of which is provided the array of microimages.
- 5 33. A device according to claim 32, wherein the substrate is plastics.

Fig. 1A.

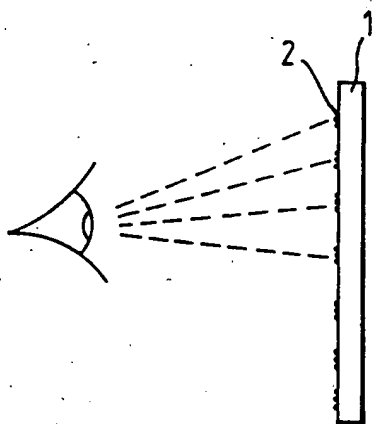


Fig. 1B.

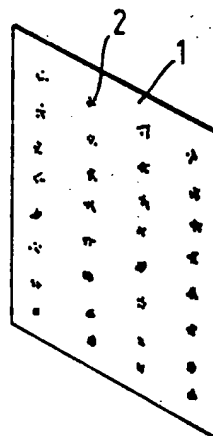


Fig. 1C.

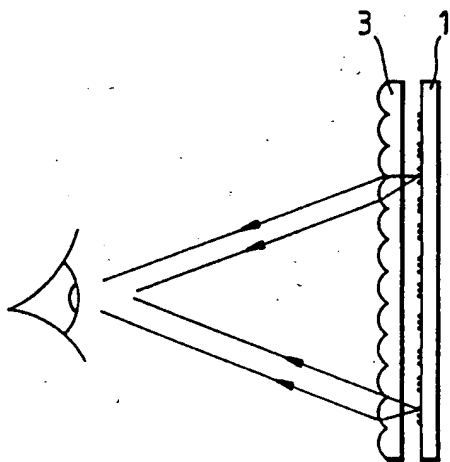
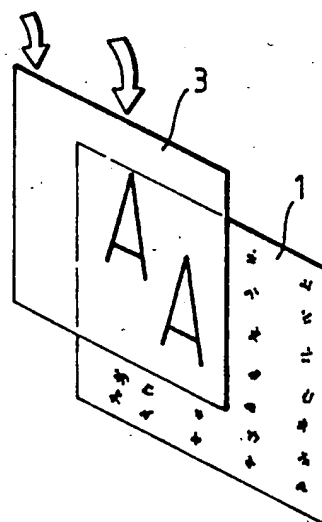


Fig. 1D.



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Fig. 1E.

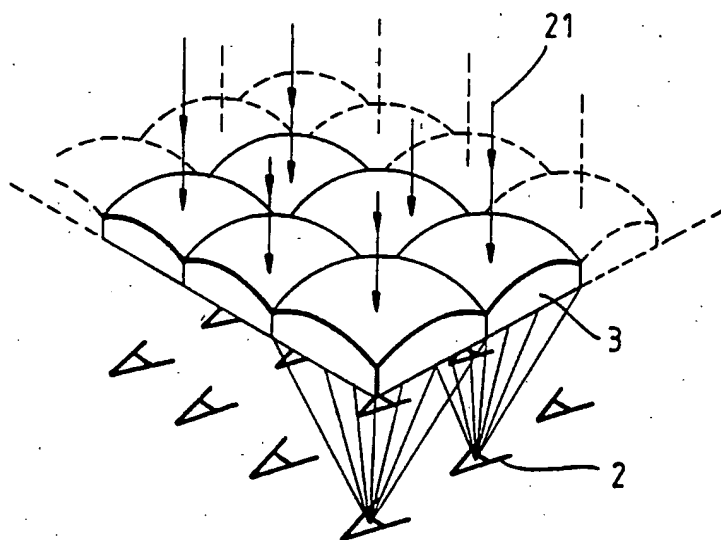
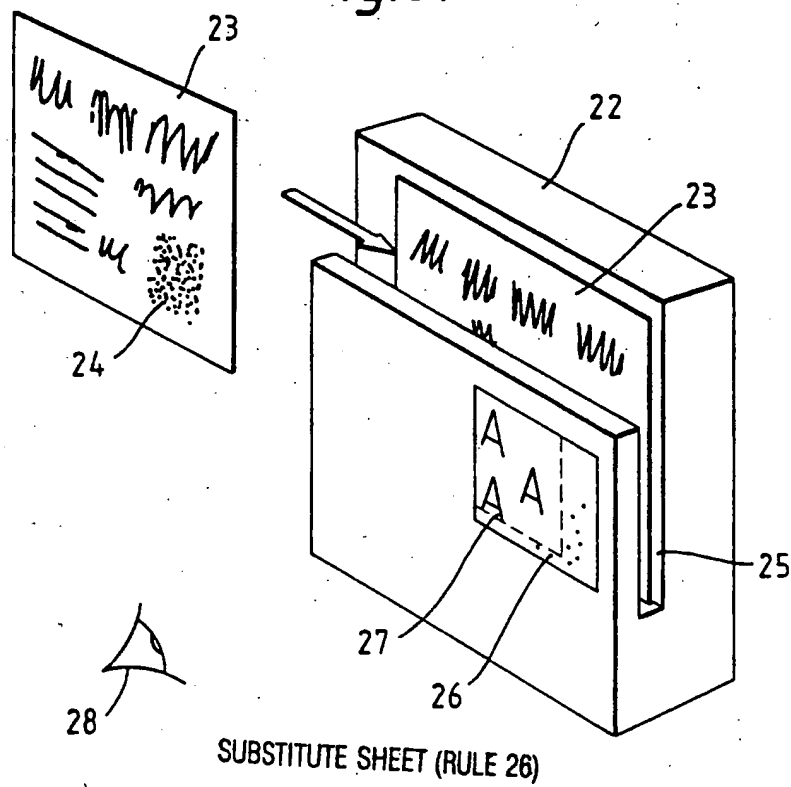


Fig. 6.



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Fig. 2A.

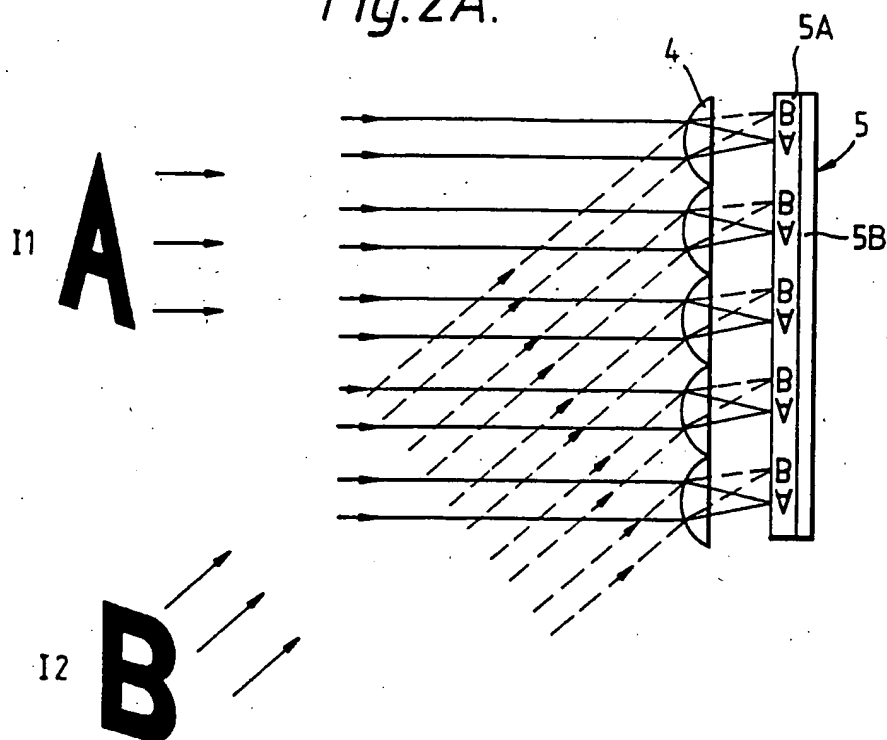
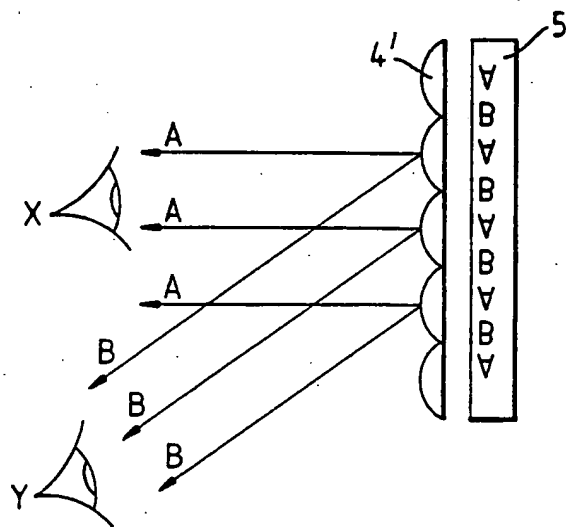


Fig. 2B.



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Fig. 3A.

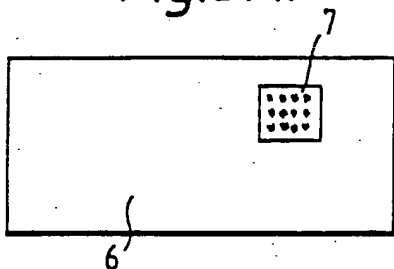


Fig. 3B.

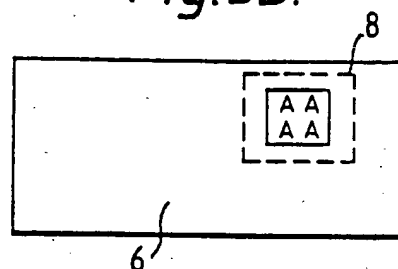


Fig. 4A.

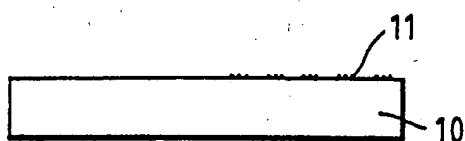


Fig. 4B.

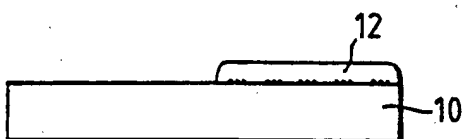


Fig. 4C.

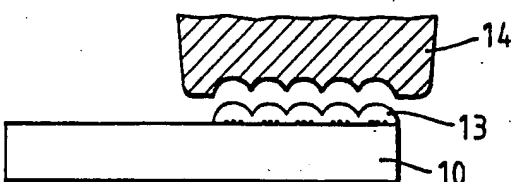
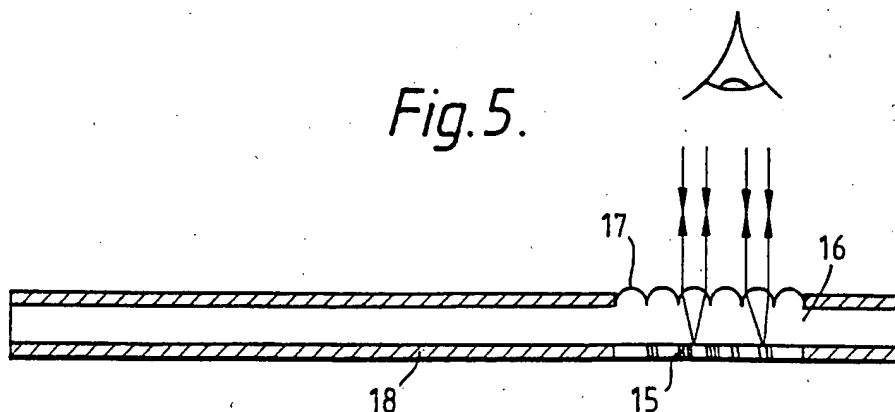


Fig. 5.



INTERNATIONAL SEARCH REPORT

International Application No.

P 94/01006

A. CLASSIFICATION OF SUBJECT MATTER
IPC 5 G06K19/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 G06K G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP,A,0 215 672 (MINNESOTA MANUFACTURING AND MINING CO.) 25 March 1987 see the whole document ---	1-33
A	EP,A,0 203 752 (MINNESOTA MINING AND MANUFACTURING CO) 3 December 1986 ---	
A	US,A,4 765 656 (BECKER ET AL.) 23 August 1988 cited in the application -----	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

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- "I" earlier document but published on or after the international filing date
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

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- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 94/01006

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		CA-A- 1250952	07-03-89
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		CA-A- 1263264	28-11-89
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